

$$p=0 \quad \text{Spin}$$

We saw that the hamiltonian

$$\hat{H} = \begin{pmatrix} m & 1 & 0 \\ 0 & -m & 1 \end{pmatrix}$$

and the operator

$$\Sigma_3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$$

commutes with this so can be used to label the degeneracy. Note however that the positive energy states have just two different eigenvalues

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} e^{-iEt} \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-iEt}$$

If this is to be interpreted as the z-component of a spin the only spin state that would have only two values is $1/2$.

$$\text{Thus } S_3 = \frac{1}{2} \Sigma_3 = \frac{1}{2} \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \therefore \text{eigenvalues } S_3 = \pm \frac{1}{2}$$

can the angular momentum 3 component be extrapolated to the other two components

$$\text{i.e. } \hat{\underline{S}} = \frac{1}{2} \underline{\Sigma} = \frac{1}{2} \begin{pmatrix} \underline{\sigma} & 0 \\ 0 & \underline{\sigma} \end{pmatrix}$$

$$\therefore \hat{\underline{S}} = \frac{1}{2} \underline{\Sigma} = \frac{1}{2} \left[\begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix} \hat{i} + \begin{pmatrix} \sigma_y & 0 \\ 0 & \sigma_y \end{pmatrix} \hat{j} + \begin{pmatrix} \sigma_z & 0 \\ 0 & \sigma_z \end{pmatrix} \hat{k} \right]$$

$$\therefore \hat{S}^2 = \frac{1}{4} \cdot 3 \cdot 1$$

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which can be written as

$$\hat{S}^2 = \frac{1}{2} \left(\frac{1}{2} + 1 \right) \mathbb{1} \quad \text{note also } [\hat{S}^2, S_z] = 0.$$

Thus the states at rest can be interpreted as states of $\frac{1}{2}$ -spin. Whereas orbital angular momentum comes in packets of $\hbar$ spin states are only $\pm \frac{1}{2}$.
[So $\hat{S}^2$ can also be used to label the state]

$p \neq 0$ helicity

The hamiltonian is now more complex

$$\begin{aligned} \hat{H} &= \alpha \cdot p + \beta m = \begin{pmatrix} 0 & \sigma \cdot p \\ \sigma \cdot p & 0 \end{pmatrix} + m \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ &= \begin{pmatrix} m \mathbb{1} & \sigma \cdot p \\ \sigma \cdot p & -m \mathbb{1} \end{pmatrix} \end{aligned}$$

Σ no longer commutes with $\hat{H}$. However

$$\text{lets consider } h(p) = \begin{pmatrix} \frac{\sigma \cdot p}{|p|} & 0 \\ 0 & \frac{\sigma \cdot p}{|p|} \end{pmatrix}$$

which we can see reflects some of the structure of $\hat{H}$

$$\begin{pmatrix} \frac{\sigma \cdot p}{|\mathbf{p}|} & 0 \\ 0 & \frac{\sigma \cdot p}{|\mathbf{p}|} \end{pmatrix} \begin{pmatrix} m \mathbb{1} & \sigma \cdot p \\ \sigma \cdot p & -m \mathbb{1} \end{pmatrix} = \begin{pmatrix} \frac{m \sigma \cdot p}{|\mathbf{p}|} & \frac{(\sigma \cdot p)(\sigma \cdot p)}{|\mathbf{p}|} \\ \frac{(\sigma \cdot p)(\sigma \cdot p)}{|\mathbf{p}|} & -\frac{m \sigma \cdot p}{|\mathbf{p}|} \end{pmatrix}$$

$$\begin{pmatrix} m \mathbb{1} & \sigma \cdot p \\ \sigma \cdot p & -m \mathbb{1} \end{pmatrix} \begin{pmatrix} \frac{\sigma \cdot p}{|\mathbf{p}|} & 0 \\ 0 & \frac{\sigma \cdot p}{|\mathbf{p}|} \end{pmatrix} = \begin{pmatrix} \frac{m \sigma \cdot p}{|\mathbf{p}|} & \frac{(\sigma \cdot p)(\sigma \cdot p)}{|\mathbf{p}|} \\ \frac{(\sigma \cdot p)(\sigma \cdot p)}{|\mathbf{p}|} & \frac{m \sigma \cdot p}{|\mathbf{p}|} \end{pmatrix}$$

Thus

$$\hat{h}(p) = \begin{pmatrix} \frac{\sigma \cdot p}{|\mathbf{p}|} & 0 \\ 0 & \frac{\sigma \cdot p}{|\mathbf{p}|} \end{pmatrix}$$

can be used to label the moving particle
($p \neq 0$) states.

Let us write the Dirac in the 2 component block form.

$$p^0 \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \begin{pmatrix} m \mathbb{1} & (\sigma \cdot p) \\ (\sigma \cdot p) & -m \mathbb{1} \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix}$$

Thus

$$p^0 \phi = m \phi \mathbb{1} + (\sigma \cdot p) \chi \quad - (1)$$

$$p^0 \chi = (\sigma \cdot p) \phi - m \mathbb{1} \chi \quad - (2)$$

Using (2)

$$(p^0 + m) \chi = (\sigma \cdot p) \phi$$

$$\Rightarrow \chi = \left(\frac{\sigma \cdot p}{p^0 + m} \right) \phi$$

Substituting this into (1)

$$p^0 \phi = \left[m \mathbb{1} + \left(\frac{(\sigma \cdot p)(\sigma \cdot p)}{p^0 + m} \right) \right] \phi$$

But $\sigma_i \sigma_j = \epsilon_{ijk} \sigma_k + \mathbb{1} \delta_{ij}$

$$\begin{aligned}
 \text{Thus } a_i \sigma_i b_j \sigma_j &= a_i b_j \sigma_i \sigma_j \\
 &= a_i b_j \{ \epsilon_{ijk} \sigma_k + \mathbb{1} \delta_{ij} \} \\
 &= a_i b_j \delta_{ij} \mathbb{1} + a_i b_j \epsilon_{ijk} \sigma_k \\
 &= \underline{a} \cdot \underline{b} \mathbb{1} + (\underline{a} \times \underline{b}) \cdot \underline{\sigma}
 \end{aligned}$$

Thus $(\sigma \cdot p)(\sigma \cdot p) = p^2 \mathbb{1}$.

So $p^0 \phi = m \mathbb{1} \phi + \frac{p^2 \mathbb{1} \phi}{p^0 + m}$

$$\Rightarrow (p^0 - m) \phi = \frac{p^2 \mathbb{1} \phi}{p^0 + m}$$

$$p^{02} - m^2 \phi = p^2 \phi$$

$$\therefore \boxed{p^{02} = p^2 + m^2}$$

So now we know too that the spinor takes a block form and the blocks are related 6.

the positive energy solutions can be written as

$$\omega^{1,2} \exp -i(p^\mu x_\mu)$$

$$\text{where } \omega^{1,2} = \begin{pmatrix} \phi^{1,2} \\ \chi^{1,2} \end{pmatrix}$$

$$\text{and } \chi^{1,2} = \frac{\sigma \cdot p}{E+m} \phi^{1,2}$$

$$\text{where } \phi^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \phi^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$= \begin{pmatrix} \phi^{1,2} \\ \frac{\sigma \cdot p}{E+m} \phi^{1,2} \end{pmatrix} e^{-ip^\mu x_\mu}$$

N.B.

for $p = 0$

$$\omega^1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \omega^2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

$$\phi^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \phi^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

the negative energy solution can be written as ^{7.}

$$\omega^{3,4} \exp i(p^\mu x_\mu)$$

$$\omega^{3,4} = \begin{pmatrix} \phi^{1,2} \\ \chi^{1,2} \end{pmatrix}$$

N.B for $p=0$

$$\omega^3 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \omega^4 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$
$$\chi^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \chi^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\text{and } \phi^{1,2} = \frac{\sigma \cdot p}{E+m} \chi^{1,2}$$

$$\text{where } \chi^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{and} \quad \chi^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$= \begin{pmatrix} \left(\frac{\sigma \cdot p}{E+m} \right) \chi^{1,2} \\ \chi^{1,2} \end{pmatrix} e^{i p^\mu x_\mu}$$

So what are the eigenvalues of the helicity operator. ⁸

$$\begin{pmatrix} \frac{\sigma \cdot p}{|p|} & 0 \\ 0 & \frac{\sigma \cdot p}{|p|} \end{pmatrix} \begin{pmatrix} \phi^\pm \\ \frac{\sigma \cdot p}{E+m} \phi^\pm \end{pmatrix} = \lambda^\pm \begin{pmatrix} \phi^\pm \\ \frac{\sigma \cdot p}{E+m} \phi^\pm \end{pmatrix}$$

The first term gives $\frac{\sigma \cdot p}{E+m} \phi^\pm = \lambda^\pm \phi^\pm$
which can be written as

$$\frac{1}{|p|} \begin{pmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \lambda \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

which can be solved using the characteristic equation

$$\frac{1}{|p|} \begin{vmatrix} p_z - \lambda |p| & p_x - ip_y \\ p_x + ip_y & -p_z - \lambda |p| \end{vmatrix} = 0.$$

$$\therefore (p_z - \lambda |p|)(-p_z - \lambda |p|) - (p_x - ip_y)(p_x + ip_y) = 0.$$

$$\therefore -p_z^2 + \lambda^2 |p|^2 - p_x^2 - p_y^2 = 0.$$

$$\therefore \lambda^2 = \frac{p_x^2 + p_y^2 + p_z^2}{|p|^2}$$

$$\therefore \boxed{\lambda = \pm 1}$$

To find the eigenvectors

for $\lambda = +1$.

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$$\begin{pmatrix} \frac{p_z}{|p|} - 1 & \frac{p_x - ip_y}{|p|} \\ \frac{p_x + ip_y}{|p|} & -\frac{p_z}{|p|} - 1 \end{pmatrix} \begin{pmatrix} x_1^+ \\ x_2^+ \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$1) \left(\frac{p_z}{|p|} - 1 \right) x_1^+ + \left(\frac{p_x - ip_y}{|p|} \right) x_2^+ = 0.$$

$$2) \left(\frac{p_x + ip_y}{|p|} \right) x_1^+ + \left(-\frac{p_z}{|p|} - 1 \right) x_2^+ = 0.$$

$$\text{from 1) } x_1^+ = \frac{\left(\frac{p_x - ip_y}{|p|} \right) x_2^+}{\left(1 - \frac{p_z}{|p|} \right)}$$

$$x_1^+ = \frac{p_x - ip_y}{|p| - p_z} x_2^+$$

$$2) x_2^+ = \frac{p_x + ip_y}{|p| + p_z} x_1^+$$

We then chose a value of x_1^+ or x_2^+ that Normalizes the eigen veb.

Thus finally,

$$u(p, \lambda \pm \frac{1}{2}) = \begin{pmatrix} \phi^\pm \\ \frac{\sigma \cdot p}{E+m} \phi^\pm \end{pmatrix}.$$

The positive and negative helicity states

The DIRAC particle current:

$$\textcircled{1} \quad -i \frac{\partial \psi}{\partial t} = (+i \alpha \cdot \nabla + \beta m) \psi$$

And taking the complex conjugate and transpose

$$\textcircled{2} \quad i \frac{\partial \tilde{\psi}^*}{\partial t} = -i \widetilde{\alpha \cdot \nabla \psi}^* + \widetilde{\beta m \psi}^*$$

but since $(AB)^* = B^* A^*$

$$i \frac{\partial \tilde{\psi}^*}{\partial t} = -i \nabla \tilde{\psi}^* \cdot \tilde{\alpha}^* + \tilde{\psi}^* \tilde{\beta}^* m$$

but α and β are hermitian $\therefore \tilde{\alpha}^* = \alpha^+ = \alpha$
 $\tilde{\beta}^* = \beta^+ = \beta$

$$\Rightarrow i \frac{\partial \tilde{\psi}^*}{\partial t} = -i \nabla \tilde{\psi}^* \cdot \alpha + \tilde{\psi}^* \beta m$$

multiplying $\textcircled{1}$ by $\psi^+ = \tilde{\psi}^*$ and pre multiplying $\textcircled{2}$ by ψ
 and subtracting we

$$-i \left(\tilde{\psi}^* \frac{\partial \psi}{\partial t} + \psi \frac{\partial \tilde{\psi}^*}{\partial t} \right) = i \left(\tilde{\psi}^* \alpha \cdot \nabla \psi + \psi \alpha \cdot \nabla \tilde{\psi}^* \right)$$

$$\Rightarrow \boxed{-i \frac{\partial \psi^+ \psi}{\partial t} = i \nabla \cdot (\psi^+ \alpha \psi)}$$

Thus the Dirac current is

$$j_\mu = (\psi^\dagger \psi, \psi^\dagger \underline{\alpha} \psi)$$

which satisfies the usual equation (Dirac equation).

$$\partial^\mu j_\mu = 0.$$

Thus $\psi^\dagger \psi$ is associated with the probability and $\psi^\dagger \underline{\alpha} \psi$ the flux.

So N.B. There is no gradient in the flux
So for spin $1/2$ particles, only the wave
function needs be continuous across
a boundary

$$\begin{array}{c} \psi_1^\dagger \alpha \psi_1 \quad \left| \quad \psi_2^\dagger \alpha \psi_2 \quad \dots \psi_1 = \psi_2 \right. \\ \hline \longrightarrow \quad \longrightarrow \end{array}$$

Also rewriting the ψ as $\phi \underline{u}$ ↓ space part
spinor

$$j^\mu = (\phi^* \phi \underline{u}^\dagger \underline{u}, \phi^* \phi \underline{u}^\dagger \underline{\alpha} \underline{u})$$

Since $\psi^\dagger \psi$ is the zeroth component of the Dirac particle 4-vector, or flux we associate this quantity with the probability

$$\psi^\dagger \psi = \underbrace{\alpha^* \alpha}_{\text{spatial wavefunction.}}$$

$$\alpha^* \alpha \sim L^3$$

$$u^\dagger u = \begin{pmatrix} \phi' \\ \frac{\sigma \cdot p}{E+m} \phi' \end{pmatrix}^\dagger \begin{pmatrix} \phi' \\ \frac{\sigma \cdot p}{E+m} \phi' \end{pmatrix}$$

in terms of components

$$\begin{aligned} & \phi_i'^\dagger \mathbb{1}_{ij} \phi_j' + \phi_i'^\dagger \left(\frac{\sigma \cdot p}{E+m} \right)_{ij}^2 \phi_j' \\ = & \phi_i'^\dagger \mathbb{1}_{ij} \phi_j' + \phi_i'^\dagger \frac{p^2}{E+m} \mathbb{1}_{ij} \phi_j' \\ = & \left(1 + \frac{p^2}{(E+m)^2} \right) \left(\phi_i'^\dagger \mathbb{1}_{ij} \phi_j' \right) \\ = & \frac{E^2 + m^2 + 2Em + p^2}{(E+m)^2} \phi_i'^\dagger \mathbb{1}_{ij} \phi_j' \\ = & \frac{2E(E+m)}{(E+m)^2} \underbrace{\phi_i'^\dagger \mathbb{1}_{ij} \phi_j'}_1 - \text{if } \phi' = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ or } \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ = & \frac{2E}{E+m} \end{aligned}$$

$$\Sigma_3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} e^{-iEt}$$

$$\psi_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-iEt}$$

$$\Sigma_3 |\psi_1\rangle = + |\psi_1\rangle$$

$$\Sigma_3 |\psi_2\rangle = - |\psi_2\rangle$$

Spin:

Let us define

$$S_z = \frac{1}{2} \hbar \Sigma_3 = \frac{1}{2} \hbar \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$$

$$S_x = \frac{1}{2} \hbar \Sigma_x = \frac{1}{2} \hbar (\Sigma_1 + i \Sigma_2) \\ = \frac{1}{2} \hbar \begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix}$$

NB.

$$\sigma_z = \sigma_3$$

$$\sigma_x = \sigma_1 + i \sigma_2$$

$$\sigma_y = \sigma_1 - i \sigma_2$$

$$S_y = \frac{1}{2} \hbar \Sigma_y = \frac{1}{2} \hbar (\Sigma_1 - i \Sigma_2)$$

$$= \frac{1}{2} \hbar \begin{pmatrix} \sigma_y & 0 \\ 0 & \sigma_y \end{pmatrix}$$

$$\begin{aligned} \underline{S} &= \frac{1}{2} \hbar \underline{\Sigma} = \frac{1}{2} \hbar (\Sigma_x \hat{i} + \Sigma_y \hat{j} + \Sigma_z \hat{k}) \\ &= \frac{1}{2} \hbar \left[\begin{pmatrix} \sigma_x & 0 \\ 0 & \sigma_x \end{pmatrix} \hat{i} + \begin{pmatrix} \sigma_y & 0 \\ 0 & \sigma_y \end{pmatrix} \hat{j} + \begin{pmatrix} \sigma_z & 0 \\ 0 & \sigma_z \end{pmatrix} \hat{k} \right]^2 \\ &= \frac{1}{4} \hbar^2 \left[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \hat{i} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \hat{j} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \hat{k} \right] \\ &= \frac{3}{4} \hbar^2 \mathbb{I} \\ &= \frac{1}{2} \left(\frac{1}{2} + 1 \right) \hbar^2 \mathbb{I} \end{aligned}$$

$$\boxed{\therefore \hat{S}^2 \psi = \frac{1}{2} \left(\frac{1}{2} + 1 \right) \psi}$$

This means ψ can be thought of as particle spin $1/2$.

So how do we want to normalize the $u^\dagger u$.¹⁴

1) Covariant choice

$$u_i^\dagger u_j = \delta_{ij} \frac{E}{m}$$

Why do this, because this transforms like E and is therefore manifestly like a 0^{th} component of a four vector. Thus if we normalize the spinor in this way we get

$$u_i = \left(\frac{E+m}{2m} \right)^{1/2} \begin{pmatrix} \phi^i \\ \frac{\sigma \cdot p}{E+m} \phi^i \end{pmatrix}$$

The convention is that u automatically implies the covariant normalization is included $(E+m/2m)^{1/2}$.

ii) non-covariant choice .

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If we want the probabilistic interpretation we need $u_i^\dagger u_j = \frac{1}{L^3} \delta_{ij}$

This clearly means the 4 current is no longer manifestly covariant but means we can interpret in terms of particles.

$$\psi^i = \frac{1}{L^{3/2}} \left(\frac{M}{E} \right)^{1/2} u(p, i) e^{-ip^\mu x_\mu}$$

NB. u has covariant normalization built in.

If we use this normalization

$$j = \psi^\dagger \alpha \psi = \frac{v}{L^3}$$